

Higher Temperley-Lieb categories,  
 Orthogonal Polynomials, and  
 $(3+\varepsilon)$ -dimensional TQFTs

$$\text{Sphere} - \left[ \text{Sphere} + \text{Sphere} + \text{Sphere} \right] + 2 \cdot \text{Sphere} = 0$$

$$\text{Figure-eight} = \text{Circle with line} = \text{Sphere with line} = 2 \cdot \phi$$

# Temperley-Lieb $\mathbb{Z}$ -category review

Disk with  $\partial$ -condition  $c \rightsquigarrow$  vector space  $A(D^2; c)$

$$\text{Disk with 4 boundary points} \mapsto \mathbb{C} \left[ \left\{ \text{Diagram 1}, \text{Diagram 2} \right\} \right]$$

$$\text{Disk with 6 boundary points} \mapsto \mathbb{C} \left[ \left\{ \text{Diagram 1}, \text{Diagram 2}, \text{Diagram 3}, \text{Diagram 4}, \text{Diagram 5} \right\} \right]$$

$$\dim(A(D^2; c)) = \begin{cases} 0, & |c| \text{ odd} \\ \text{catalan}(|c|), & |c| \text{ even} \end{cases}$$

Can glue disks together:

$$\text{Disk 1} \cup \text{Disk 2} = \text{Glued Disk} = \delta \cdot \text{Disk 3}$$

Can glue  $\partial$ -cond together:  $\text{---} \bullet \bullet \text{---} \cup \text{---} \bullet \bullet \bullet \text{---} = \text{---} \bullet \bullet \bullet \bullet \text{---}$

⇒ We have a 2-category (linear, strict pivotal)

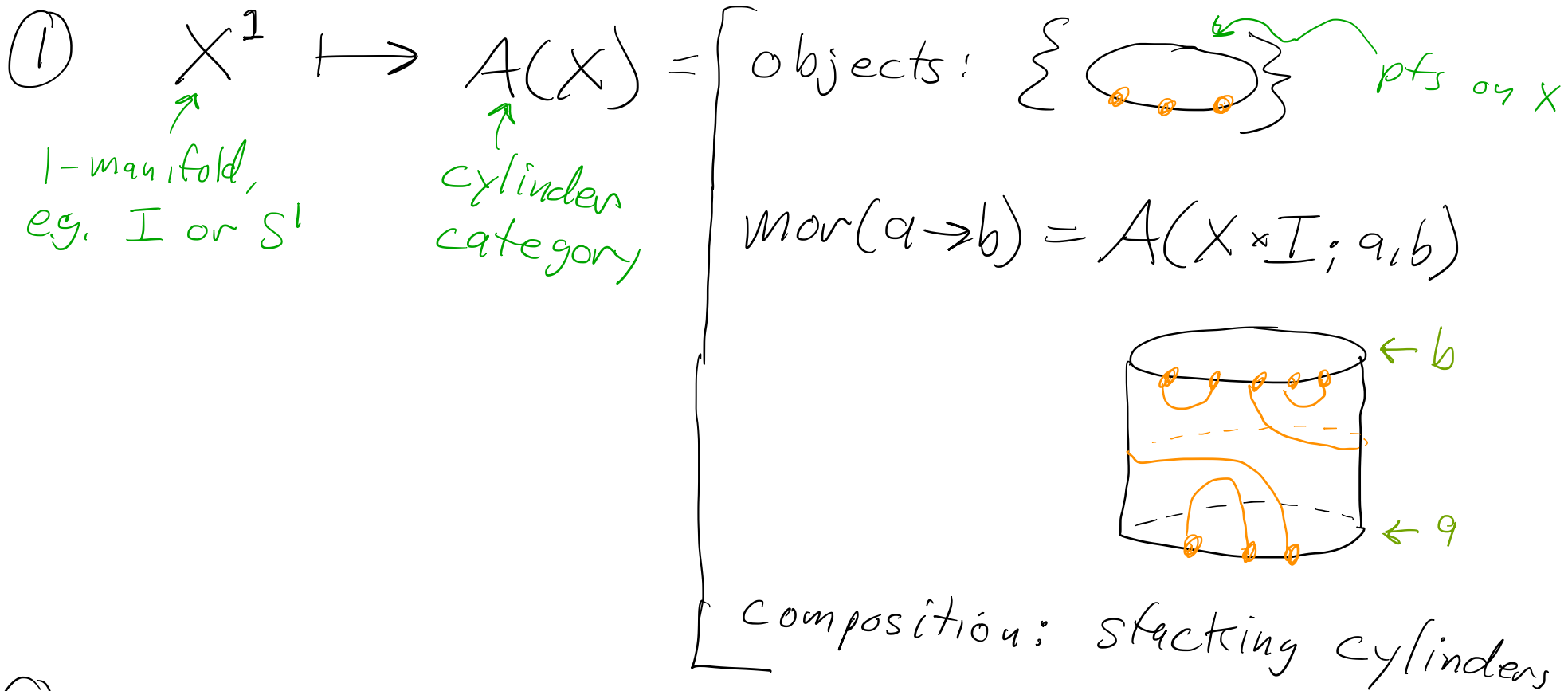
$$\{n\text{-categories}\} \leftrightarrow \{(n+1)\text{-dimensional TQFTs}\}$$

Some components of the TL TQFT:

$$\textcircled{2} (Y^2, c) \mapsto A(Y; c) = \mathbb{C} \left[ \text{Diagram} \right] / \sim$$

$\uparrow$   
2-condition
 $\uparrow$   
predual Hilbert space
 $\uparrow$   
local relations  
(isotopy rel  $\partial$ ,  
 $\bigcirc = \delta \cdot \emptyset$ )

(2-dim'l skein module)



③ Path integral

$$Z(M^3) : A(\partial M) \rightarrow \mathbb{C}$$

(only defined when  $M = 0\text{-handles} \cup 1\text{-handles}$ )

A

# Orthogonal polynomials from the TL TQFT

1-cat A(I)  $\rightsquigarrow$  minimal idempotents:

$$e_0 = \square, \quad e_1 = \square \text{ with 1 vertical line}, \quad e_2 = \square \text{ with 2 vertical lines} - \frac{1}{\delta} \square \text{ with two crossings}$$

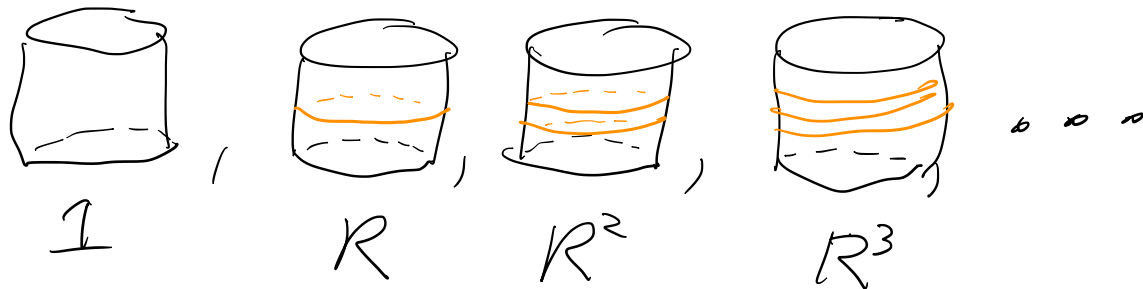
$$e_3 = \square \text{ with 3 vertical lines} - \frac{\delta}{\delta^2 - 1} \left[ \square \text{ with two crossings} + \square \text{ with two crossings} \right] + \frac{1}{\delta^2 - 1} \left[ \square \text{ with two crossings} + \square \text{ with two crossings} \right], \dots$$

etc.

("Jones-Wenzl idempotents")

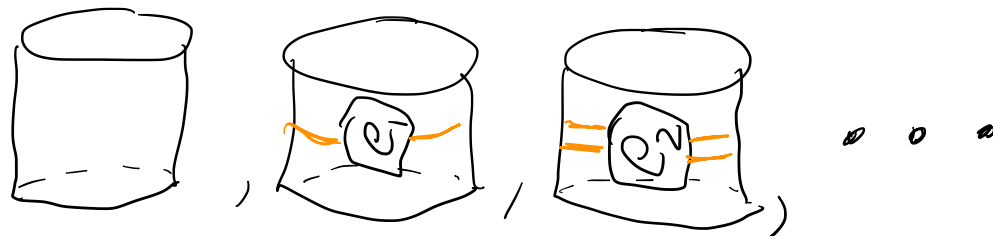
1-cat  $A(S') \supset \underline{\text{mor}(\emptyset \rightarrow \emptyset)}$

"topological basis":



polynomial algebra  $\mathbb{C}[R]$

"algebraic basis":



trace/inner product on morphisms of  $A(S')$

$$\text{tr}(x) = \mathcal{Z}(S' \times I \times I)(\text{cl}(x))$$

$$\langle x, y \rangle = \text{tr}(\bar{x} \cdot y)$$

path integral  $\uparrow$  closure of  $x \in A(S' \times S')$

★ algebraic basis is orthonormal w.r.t.  $\int_{-1}^1 P_i$ ,  
 so we get a family of orthogonal polynomials

$$\langle cl(e_j), cl(e_j) \rangle = \delta_{ij}$$

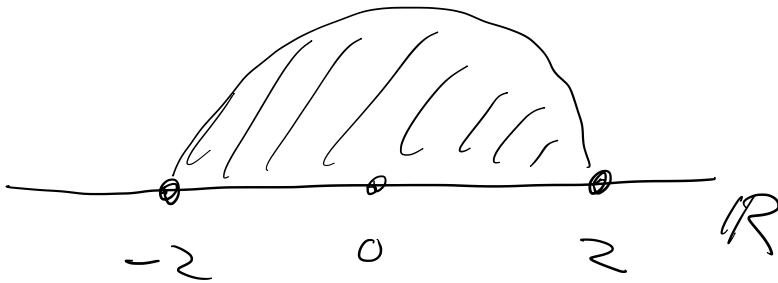
↑  
 Chebyshev polynomials

● trace  $\rightsquigarrow$  measure on  $\mathbb{R}$

moments of measure:

$$\text{tr}(R^k) = \begin{cases} 0, & k \text{ odd} \\ \text{cat}(k), & k \text{ even} \end{cases}$$

↑  
 Catalan number



$$d\mu = c \cdot \sqrt{1 - \left(\frac{r}{2}\right)^2} dr$$

# B Quotients

Can we impose additional relations on  $TL$ ?

If  $g$  is a relation, then

$$\text{Disk}(g) = 0 \Rightarrow \text{Disk}(g) \cap \text{Disk}(h) = 0 \quad \forall h$$

$g$  minimal  $\Rightarrow$

$$\text{Disk}(g) \cap \text{Cap} = 0 \quad \forall \text{ caps}$$

First two solutions to these cap eq'ns:

$$g = \text{Disk}(g) + \delta \cdot \text{Cap}, \quad \delta^2 - 1 = 0 \quad (\mathbb{Z}/2 \text{ homology})$$



$$\text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} - \frac{1}{\delta} [\text{Diagram 4} + \text{Diagram 5}] = 0$$

$\rightsquigarrow$  "Ising TQFT", much studied  
 in quantum computation

$$\delta^2 - 2 = 0$$

In general,  $\exists$  sol'n to cap equations  
 whenever  $\delta = q + q^{-1}$ ,  $q^r = -1$

$\rightsquigarrow$  fall  $2+1$ -dim'l TQFT (not just  $(2+\epsilon)$ -dim'l)  
 (Turaev-Viro TQFT)

Goal: Generalize all of the above  
(including  $A + B$ ) to higher dimensions

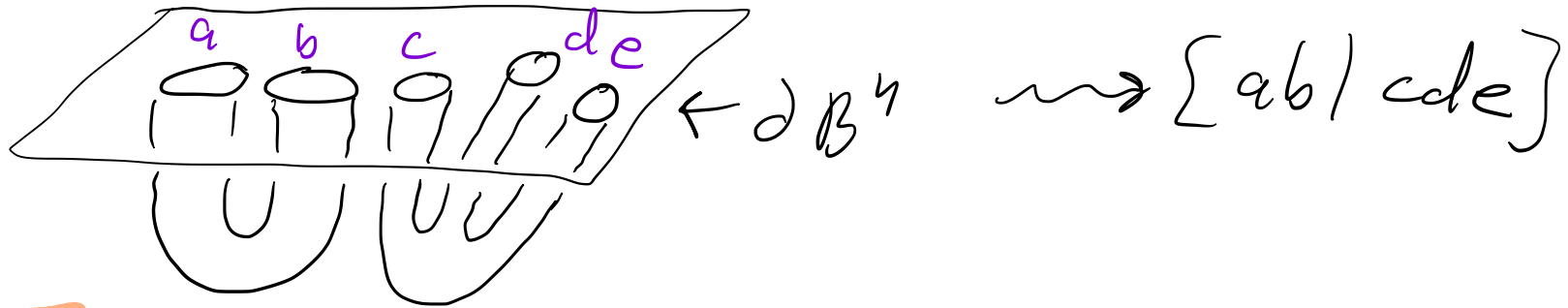
TL: codim 1 submanifolds of  $B^2$

HTL: codim  $k$  submanifolds of  $B^n$

What are the local relations?

Given  $S \subset B^n$ , get partition of  $\pi_0(\partial S)$   
(proper embedding  
of codim  $k$  submanifold)

$a, b \in \pi_0(\partial S)$  in same block  $\Leftrightarrow a, b$  are in same component of  $S$ :

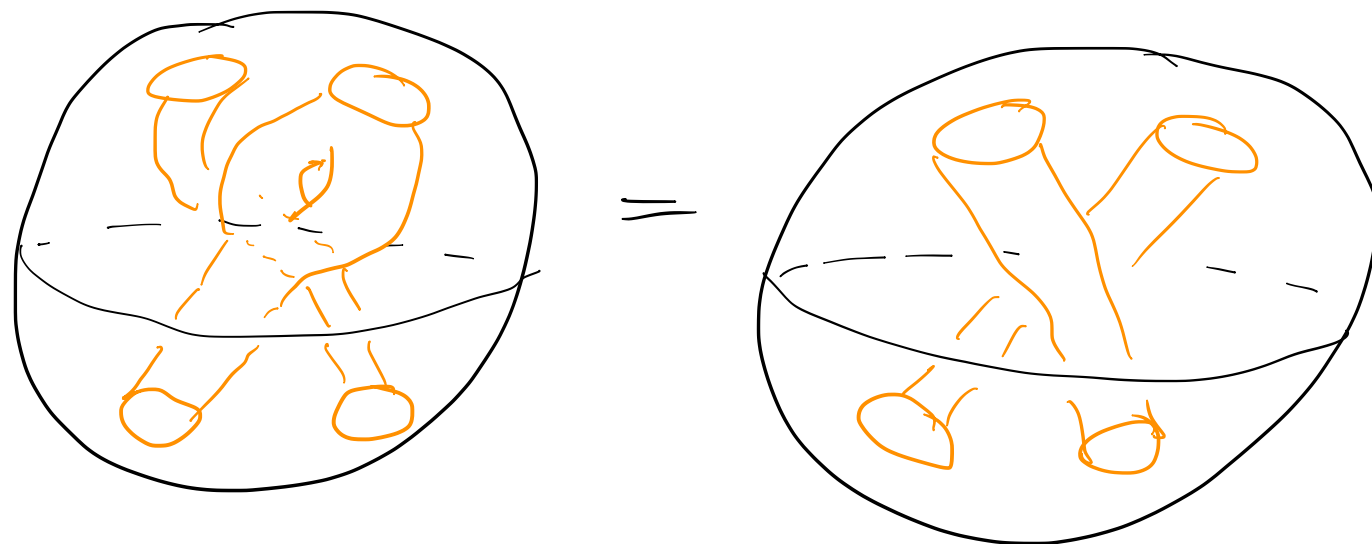
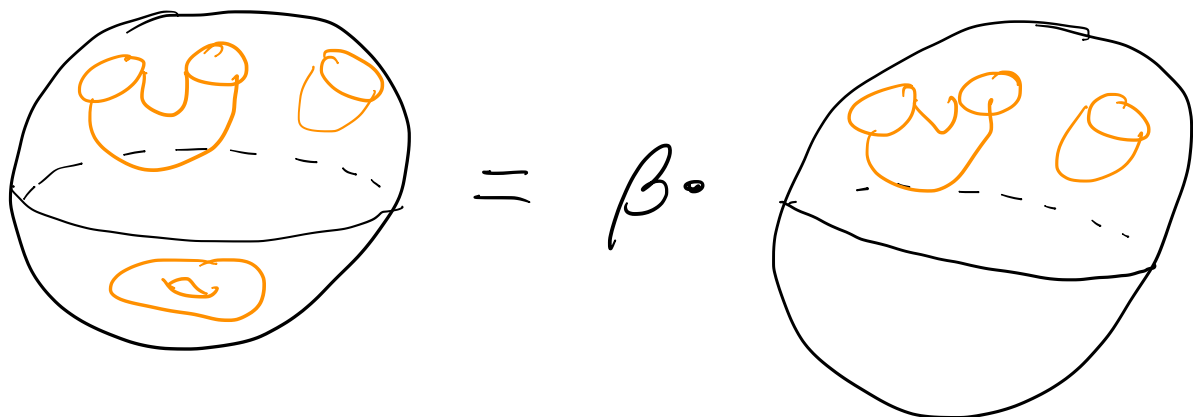


Define  $A(B^n; c) = \mathbb{C}[\{S \subset B^n; \partial S = c\}] / \sim$

codim  $k$  submanifold  
of  $\partial B^n$

$S \sim S'$  if  $S$  and  $S'$   
induce same  
partition of  $c$

also, closed components  
 $\rightsquigarrow$  factor of  $B \in \mathbb{C}$



Everything behaves well w.r.t. gluing

$\Rightarrow$   $(n+\epsilon)$ -dim'l TQFT

•  $A(M^n; c) = \mathbb{C} \left[ \left\{ S \subset M, \partial S = c \right\} \right] / \sim$

↑ pre-dual Hilbert space      ↑ codim  $k$  submanifold      ↑

•  $A(Y^{n-1}; c) = \left[ \begin{array}{l} \text{obj: } \{ \text{codim } k \\ S \subset Y \} \\ \text{mor}(a \rightarrow b) = \\ A(Y \times I; a, b) \end{array} \right]$

$S \sim S'$  if they are related by local partition-preserving modifications

● Path integral  $Z(W^{n+1}): A(W) \rightarrow \mathbb{C}$ ,  
defined when  $W = 0\text{-handles} \cup 1\text{-handles}$

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$n=2, k=1 \rightsquigarrow$  Temperley-Lieb

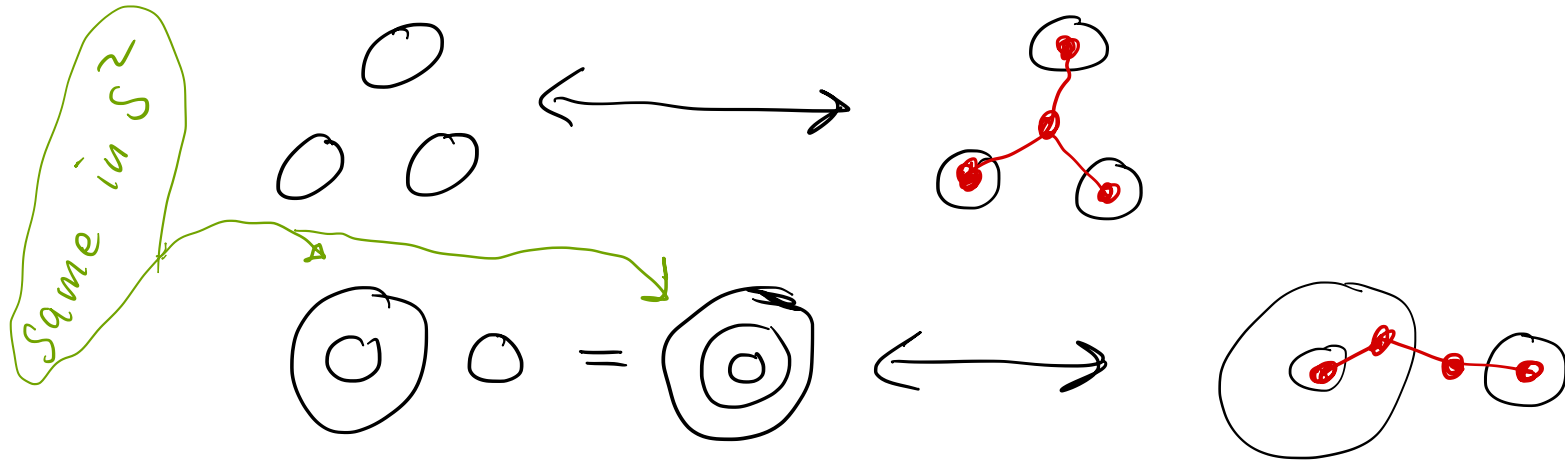
$n=3, k=1$   $\rightsquigarrow$  rest of this talk

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Step 1. Understand  $A(B^n; c)$

$$C \in \{\text{circles in } \partial B^3\} \longleftrightarrow \{\text{unrooted trees}\}$$

↑  
up to isotopy
↑  
dual graph



Define  $D(T) = \dim(A(B^3; T))$   
 $= \#$  of admissible partitions  
of the edges of  $T$

3-dim'l  
Catalan numbers

# Summary

1  
2  
3  
6  
11  
23  
47  
106

# Unrooted trees

		1
		2
3		5
		4
4		15
		9
		10
5		52
		30
		25
		20
		22
		25

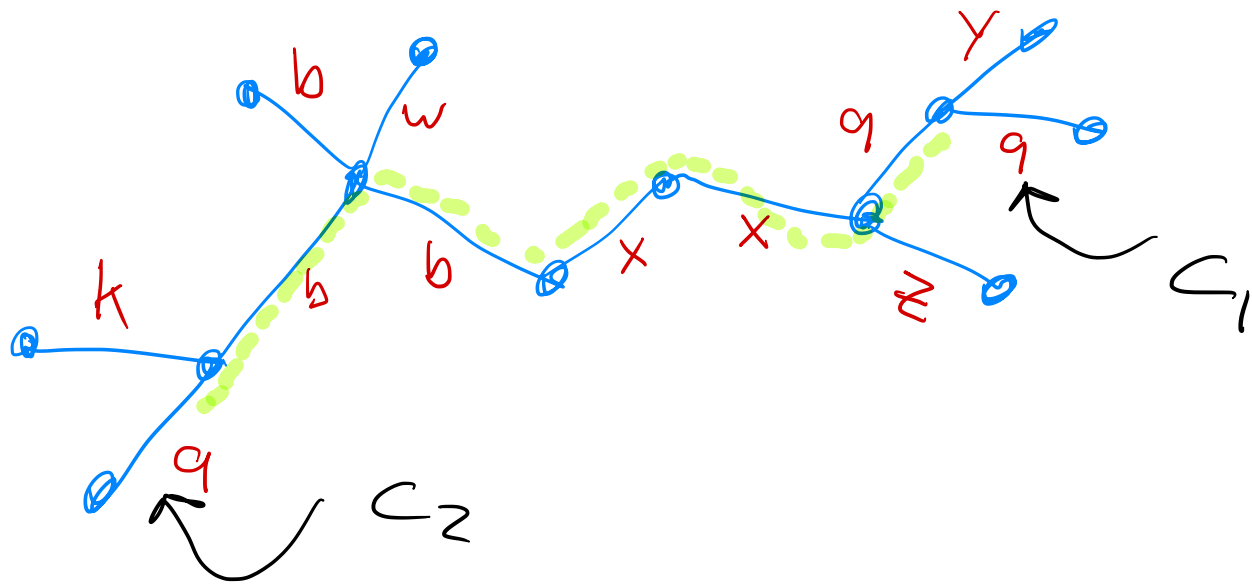


		1
		2
3		5
		4
4		15
		9
		10
5		52
		30
		25
		20
		22
		25
		203
6		104
		77
		48

6		65
		55
		54
		60
		75
		53
		82
		877
		113
		142
	127	
	142	
	179	
	174	
	127	
	145	
	164	
	150	
	141	



Prop. A partition  $\mathcal{P}$  of the edges of a tree  $T$  is admissible  $\iff \forall$  edges  $c_1, c_2$  in same block  $q$  of  $\mathcal{P}$ ,  $\forall$  blocks  $b \neq q$ , the path from  $c_1$  to  $c_2$  crosses edges of  $b$  an even number of times.

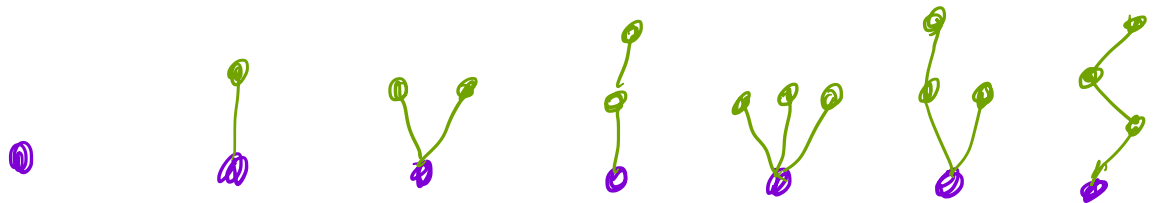


Step 2. Understand idempotents of  
 $A(D^2; c)$ ,  $c = 0$  or  $2$  or  $4$  or  $6 \dots$  pts in  $\partial D^2$

$c=0$  case

$\circ \text{bij}(A(D^2; \emptyset)) = \{ \bigcirc, \bigcirc, \bigcirc, \bigcirc, \bigcirc, \bigcirc, \bigcirc, \dots \}$

$\leftrightarrow$  rooted trees  
 (dual graph)  $\uparrow$



$$\dim(\text{mor}(T_1 \rightarrow T_2)) = D(T_1 \vee T_2)$$

e.g.  $\dim(\text{mor}(\text{tree with 2 nodes} \rightarrow \text{tree with 3 nodes})) = D(\text{tree with 3 nodes}) = D(\bigcirc) = 10$

Let  $\{e_i\}$  be a complete set of minimal idempotents for  $A(D^2; \emptyset)$ . Then

$$T \cong \bigoplus_i \langle e_i, T \rangle \cdot e_i$$

for any object  $T$  of  $A(D^2; \emptyset)$ , where

$$\langle e_i, T \rangle = \text{mor}(e_i \rightarrow T).$$

It follows that  $\text{mor}(T_1 \rightarrow T_2) \cong \bigoplus_i \langle e_i, T_1 \rangle \otimes \langle e_i, T_2 \rangle$   
hence

$$D(T_1 \vee T_2) = \dim(\text{mor}(T_1 \rightarrow T_2))$$

$$= \sum_i \dim \langle e_i, T_1 \rangle \cdot \dim \langle e_i, T_2 \rangle$$

$\forall T_1, T_2$









## General case

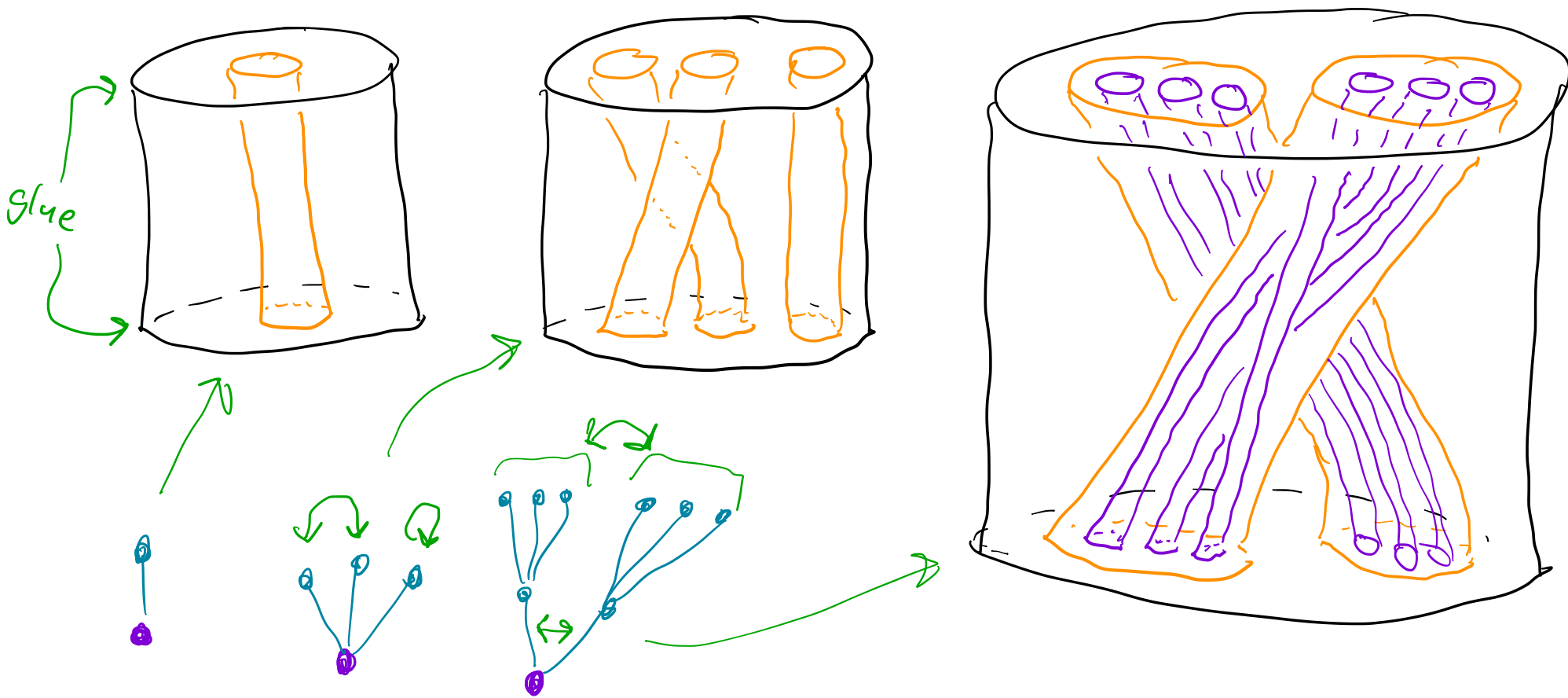
- Let  $T$  be a rooted tree and let  $\text{Sym}(T)$  be its symmetry group. Note that  $\text{Sym}(T)$  embeds in  $\text{Mor}(T \rightarrow T)$  via cylinders
- Let  $\rho$  be an irrep of  $\text{Sym}(T)$  and let  $f_\rho$  be a corresponding minimal idempotent of the group algebra of  $\text{Sym}(T)$ .
- Then  $\exists$  a minimal idempotent of  $A(\mathbb{D}^2, \emptyset)$  of the form
$$e_\rho = f_\rho + (\text{lower order terms})$$

Thm. (Classification of minimal idempotents of  $A(D^2; \rho)$ ):  $\{e_\rho\}$  from above is a complete set of minimal idempotents of  $A(D; \emptyset)$ .

Note:  $\exists$  similar result for  $A(D^2; \mathbb{Z}K)$

Step 3. Polynomial generators of  
 $\text{mor}(\emptyset \rightarrow \emptyset) \subset A(S' \times I)$

Sample elements of  $\text{mor}(\emptyset \rightarrow \emptyset)$ :



• In general, let  $T$  be a rooted tree and let  $\alpha \in \text{Sym}(T)$ .

•  $\text{cl}(\alpha) \in \text{mor}(\emptyset \rightarrow \emptyset) \subset A(S' \times I, \emptyset)$

↑ closure / mapping cylinder

•  $\text{cl}(\alpha) = \text{cl}(\alpha')$  iff  $\alpha$  and  $\alpha'$  are conjugate in  $\text{Sym}(T)$ .

•  $\text{cl}(\alpha) \cdot \text{cl}(\beta) = \text{cl}(\alpha \vee \beta)$

↑ composition in  $\text{mor}(\emptyset \rightarrow \emptyset)$       ↑ wedge of trees

•  $\text{cl}(\alpha)$  is primitive  $\iff \alpha$  has a single orbit when acting on edges adjacent to the root of  $T$ .

Thm. (a)  $\{cl([\alpha])\}$  is a basis of  $\text{mor}(\emptyset \rightarrow \emptyset)$

(b)  $\text{mor}(\emptyset \rightarrow \emptyset)$  is a commutative polynomial algebra with generators  $\{cl([\beta]) \mid \beta \text{ primitive}\}$

Step 4. TQFT trace / I.P. / measure

Thm  $\{cl(e_p)\}$  is an orthonormal basis of  $\text{mor}(\emptyset \rightarrow \emptyset)$  w.r.t. TQFT inner product

Cor.  $\exists$  family of orthogonal polynomials

- variables indexed by pairs  $(T, \{\alpha\})$

rooted tree

conj class  
of primitive  
Symmetry of  
 $T$

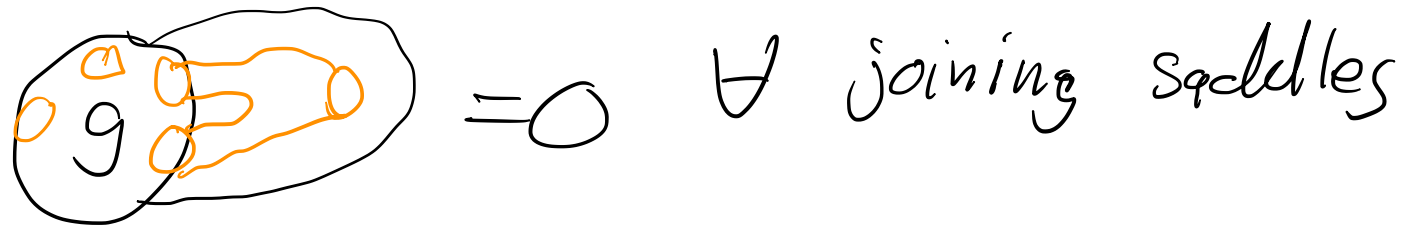
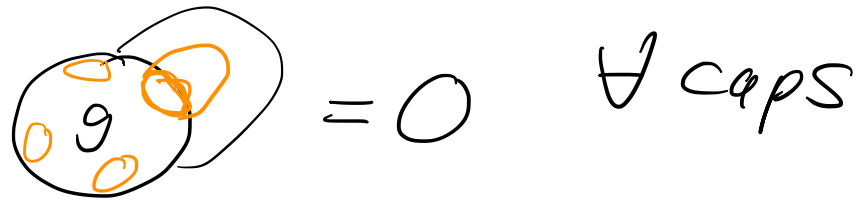
- polynomials indexed by pairs  $(T, \rho)$

irrep of  $\text{Sym}(T)$

# B

## Quotients

Let  $g \in A(\mathbb{B}^3; c)$ .  $g$  is a minimal  $\otimes$ -ideal generator  $\Leftrightarrow$



Example:  $\beta = I$ ,  $g =$   $-$

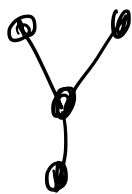
Example:

$$\beta=2, g = \text{Sphere} - \left[ \text{Sphere} + \text{Sphere} + \text{Sphere} \right] + 2 \cdot \text{Sphere}$$

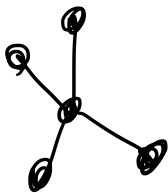
Thm. A minimal ideal generator  $g \in A(B^3; c)$  exists iff  $\beta = k \in \mathbb{N}_+$  and the  $\partial$ -condition  $c$  is a tree of constant non-trig valence  $k+1$ .



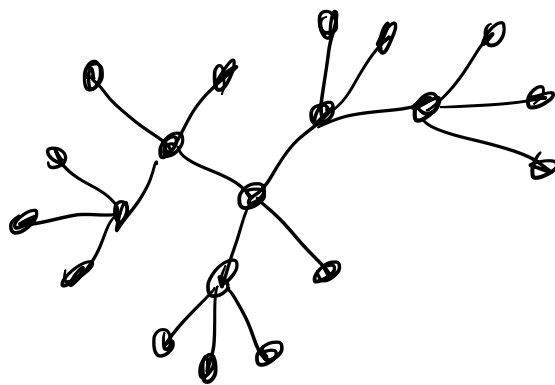
$\beta=1$



$\beta=2$

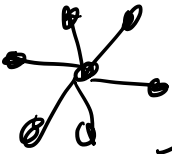


$\beta=3$



$\beta=3$



If  $C$  is a star () , then coefficient  
of a partition with block sizes  $a_1, \dots, a_m$

is

$$\prod_i (-1)^{a_i-1} (a_i-1)!$$